

1 Performance of the Bio-Rad Geenius™ HIV1/2 supplemental assay in detecting ‘recent’ HIV
2 infection and calculating population incidence
3

4 Sheila M. Keating PhD MSPH^{1,2*}, Reshma Kassanjee PhD^{3,4}, Mila Lebedeva MS¹, Shelley
5 N. Facente MPH², Jeffrey C. MacArthur¹, Eduard Grebe PhD³, Gary Murphy PhD⁵, Alex
6 Welte PhD³, Jeffrey N. Martin PhD², Susan Little MD⁶, Matthew A. Price PhD^{2,7}, Esper G.
7 Kallas MD PhD⁸, #Michael P. Busch MD PhD^{1,2} and #Christopher D. Pilcher MD², on behalf
8 of the Consortium for the Evaluation and Performance of HIV Incidence Assays (CEPHIA)
9

10 #Senior Co-authors shared equally in the work.
11

12 ¹Blood Systems Research Institute, San Francisco, California; ²University of California, San
13 Francisco, CA; ³The South African DST/NRF Centre of Excellence Epidemiological
14 Modelling and Analysis (SACEMA), Stellenbosch University; Stellenbosch; South Africa; ⁴
15 Department of Statistical Sciences; University of Cape Town; Cape Town; South Africa; ⁵
16 Public Health England; London; United Kingdom; ⁶University of California, San Diego,
17 CA; ⁷ International AIDS Vaccine Initiative (IAVI), Department of Medical Affairs, New
18 York City, New York; ⁸Division of Clinical Immunology and Allergy, School of Medicine,
19 University of São Paulo, São Paulo, Brazil.
20

21 *Corresponding author: Sheila Keating, Blood Systems Research Institute, 270 Masonic
22 Avenue, San Francisco, CA 94118; FAX: 415-775-3859; TEL: 415-749-6606 x499; EMAIL:
23 SKeating@bloodsystems.org
24

25 Previously presented at the 2014 Conference on Retrovirology and Opportunistic Infection in
26 Boston, MA.

1 **Running head:** HIV incidence with point of care diagnostic

2

3 **Conflicts of Interest and Source of Funding:**

4 CDP has received grant support from Bio-Rad Laboratories, Inc., through the University of
5 California, San Francisco, for the conduct of an unrelated clinical trial. MPB receives
6 ongoing funding from Bio-Rad Laboratories, Inc. and Ortho Clinical Diagnostics, Inc.,
7 provided to Blood Systems Research Institute, to enable ongoing evaluations of their
8 respective assays. Bio-Rad provided the kits free of charge, extracted readings from the
9 Geenius reader and supplied the data. All of the data analysis for this manuscript was
10 performed by the CEPHIA group. For the remaining authors none were declared.

11

12 All authors, as members or collaborators of the *Consortium for the Evaluation and*
13 *Performance of Incidence Assays* (CEPHIA), are supported by a grant from the *Bill and*
14 *Melinda Gates Foundation* (OPP1017716). Additional support for analysis was provided by a
15 grant from the US National Institutes of Health (R34MH096606) and specimen and data
16 collection were funded in part by additional grants from the NIH (P01 AI071713, R01
17 HD074511, P30 AI027763, R24 AI067039, AI43638, AI74621 and AI106039); California
18 HIV-1 Research Program (RN07-SD-702); Brazilian Program for STD and AIDS, Ministry
19 of Health (914/BRA/3014-UNESCO); São Paulo City Health Department (2004-0.168.922–
20 7) and IAVI with the generous support of USAID and other donors; a full list of IAVI donors
21 is available at www.iavi.org. The contents of this manuscript are the responsibility of the
22 authors and do not necessarily reflect the views of USAID or the US Government.

23

24

25

26

1 **ABSTRACT**

2

3 **Objective:** HIV seroconversion biomarkers are being used in cross-sectional studies for HIV
4 incidence-estimation. Bio-Rad Geenius™ HIV1/2 Supplemental Assay is an
5 immunochromatographic single-use assay that measures antibodies (Ab) against multiple
6 HIV-1/2 antigens. The objective of this study was to determine whether the Geenius assay
7 could additionally be used for recency estimation. **Design:** This assay was developed for
8 HIV-1/2 confirmation; however, quantitative data acquired gives information on increasing
9 concentration and diversity of antibody responses over time during seroconversion. A
10 quantitative threshold of recent HIV infection was proposed to determine ‘recent’ or ‘non-
11 recent’ HIV infection; performance using this cutoff was evaluated. **Methods:** We tested
12 2500 highly-characterized specimens from research subjects in the US, Brazil and Africa
13 with well-defined durations of HIV infection. Regression and frequency estimation were
14 used to estimate assay properties relevant to HIV incidence measurement: mean duration of
15 recent infection (MDRI), false-recent rate (FRR) and assay reproducibility and robustness.
16 **Results:** Using the manufacturer’s proposed cutoff index of 1.5 to identify ‘recent’ infection,
17 the assay has an estimated FRR of 4.1% (95% CI: 2.2-7.0) and MDRI of 179 days (155-201)
18 in specimens from treatment-naïve subjects, presenting performance challenges similar to
19 other incidence assays. Lower index cutoffs associated with lower MDRI gave a lower rate
20 of false-recent results. **Conclusion:** These data suggest that with additional
21 interpretive analysis of the band intensities using an algorithm and cutoff, the Geenius HIV
22 1/2 Supplemental assay can be used to identify recent HIV infection in addition to confirming
23 the presence of HIV-1 and HIV-2 antibodies.

24

25 **Key Words:** Rapid turn-around time, recent HIV infection, HIV incidence

26

1 INTRODUCTION

2 Guidelines for HIV testing in most countries, including the US¹, recommend using an
3 algorithm where specimens that are reactive on a sensitive screening test (preferably a 4th
4 generation antibody (Ab) / antigen (Ag) test) are retested to confirm the presence of HIV
5 antibodies. This supplemental testing has historically been done using a Western blot or
6 immunofluorescence assay; however, newer US and European guidelines require using a
7 HIV-1/HIV-2 discriminatory assay for this confirmatory testing^{2,3}. Previously, only the Bio-
8 Rad Multispot rapid test had been approved for both confirmation and HIV 1/2
9 differentiation. Subsequently, another confirmatory assay that can differentiate between
10 HIV-1 and HIV-2 infection has been introduced, the Bio-Rad Geenius HIV1/2 Supplemental
11 Assay test (Geenius)⁴. Its performance in confirmatory testing has been compared to
12 Multispot and Innolia assays^{5,6}, and it is approved for use in both the US (FDA) and Europe
13 (European Community CE marked).

14

15 The Geenius is a dual path lateral flow-based unitary assay test that measures IgG antibody
16 responses by individual bands to four HIV-1 antigens (gp41, gp160, p31, p24) and two
17 HIV-2 antigens (gp36 and gp140), as previously described^{7,8}. Antibodies specific for the
18 bound antigens remain after eluting unbound antibodies and pink/purple bands occur where
19 bound antibodies are identified by colloidal gold-linked protein A reagents. The assay is read
20 by the Geenius reader, an automated optical reader that can produce quantitative
21 measurements of antigen band intensities. For confirmation of HIV infection status, results
22 are read in the Geenius reader: the software compiles and analyzes information on the
23 intensity of each band and produces a final diagnostic test result. Typically, the band
24 intensity data are not released to the user; however, the software contains information on both
25 antibody specificity and band intensity. It has been hypothesized that data on band intensities

1 obtained from standard Geenius supplemental testing could also be interpreted to distinguish
2 between recent and longstanding HIV infections.

3

4 Tests for recent HIV infection are very important for HIV surveillance programs. They are
5 used widely in cross-sectional population surveys to calculate population incidence rates and
6 to monitor the efficacy of HIV prevention programs⁹⁻¹³ and interventions¹⁴. In the US and
7 Europe^{15,16}, extensive re-testing of samples from newly diagnosed patients is performed as
8 part of enhanced case-based surveillance¹⁷. If a routinely used HIV diagnostic assay could
9 perform the dual function of testing for recent HIV infection, this could have broad
10 implications for HIV care and for surveillance of the HIV epidemic.

11

12 The Consortium for the Evaluation and Performance of HIV Incidence Assays (CEPHIA)
13 developed a 2500-member specimen panel to facilitate comparative performance evaluations
14 of tests for recent HIV infection. This panel has been used to independently evaluate the
15 performances of several existing candidate assays for recent HIV infection, using a general
16 framework for the application of these assays to infer incidence from cross-sectional
17 surveys¹⁸. Here, we report the first such analysis of the Geenius assay as a test for recent
18 HIV infection using the CEPHIA 'Evaluation Panel'. Using this panel, the properties of the
19 assay most relevant for incidence inference are estimated for a number of chosen
20 subpopulations and at various assay recency index cutoffs. In the present analysis we also
21 evaluated additional panels of CEPHIA samples to investigate the reproducibility of
22 measurements as well the sensitivity of measurements to variations in testing procedures.

23

1 **METHODS**

2 **The CEPHIA Specimen Repository and the Evaluation Panel**

3 CEPHIA has retrospectively collected specimens in order to facilitate comparative evaluation
4 of tests to identify recent HIV infection, intended for use in incidence surveillance ¹⁸.
5 Specimens and data have been contributed by participating clinical research cohorts including
6 the UCSF Options and SCOPE cohort studies, San Francisco Men's Health Study, the UCSD
7 Acute HIV Infection Study, AMPLIAR cohort and IAVI Protocol C, according to the
8 Declaration of Helsinki. The UCSF Committee on Human Subjects Research (CHR #10-
9 02365) approved study procedures. A 2500- plasma specimen 'Evaluation Panel' was
10 designed for the full assessment of promising tests in identifying recent HIV infection. As
11 previously described, longitudinal specimens were collected from 928 subjects (2-13
12 specimens per subject, median of 3 specimens per subject). Follow-up after the estimated
13 date of HIV infection (discussed below) ranged from 1 week to more than 10 years, with a
14 median follow-up of 3 years (described in Table 1).

15

16 **Laboratory Procedures**

17 Testing was performed independently in a CEPHIA laboratory (Blood Systems Research
18 Institute) by technicians trained by the test developer and blinded to specimen background
19 information. After calibrating the reader, Geenius quality positive and negative controls were
20 tested at the beginning of each testing day. As previously described, the assay was performed
21 using a calibrated pipettor and disposable pipette tips to add 5 μ L of plasma to the sample
22 well in the Geenius cassette. Next, three drops of assay buffer were added to the sample well
23 and after 5 minutes, 5 drops of the assay buffer were added to the buffer well in the Geenius
24 cassette. After an incubation of 15 to 20 minutes all test cassettes were read by the automated
25 reader. Photographs of the Geenius cassette and HIV band interpretation results were

1 recorded using the Geenius reader; these results were transferred to Bio-Rad where
2 information on band intensities was extracted. The product as used here is still investigational
3 and the assay is not currently approved for use as described.

4

5 **Interpretation of the Assay Results**

6 The Geenius reader measures the intensity of bands specific for antibodies to four HIV-1
7 antigens (gp41, gp160, p31, and p24), two HIV-2 antigens (gp36 and gp140) and a protein A
8 total IgG-binding control band. A “Geenius Index” was developed by Bio-Rad based on
9 results from testing a smaller 250-member CEPHIA ‘Qualification Panel’ of specimens. The
10 index incorporates information from three of the HIV-1 bands that appeared to evolve most
11 consistently during seroconversion, and is defined as the sum of the band intensities of gp41,
12 gp160 and p31 bands, divided by the intensity of the control band. A test result below the
13 index cutoff of 1.5 proposed by the test developer is interpreted as indicating ‘recent’ HIV
14 infection.

15

16 **Estimation of Test Properties for Discrimination of Recent Infections and Estimation of** 17 **Incidence**

18 The software used by CEPHIA to store and analyze the data, the stratification of the data into
19 specimen sets, and the methods employed for estimating test properties were all previously
20 described¹⁸. Test properties were evaluated in each of a number of specimen sets, created by
21 stratifying on treatment history, viral load, CD4 cell count, time from infection to specimen
22 draw, and HIV subtype (based on country when unknown)¹⁸. The Evaluation Panel was
23 purposefully enriched with specimens from subjects with risk factors for “false-recent”
24 misclassification—i.e., specimens from individuals under antiretroviral treatment, and
25 specimens from elite controllers (who suppress viremia in the absence of treatment) that were

1 specifically sought from the SCOPE cohort. To avoid biasing results, these specimens were
2 analyzed separately in main analyses.

3

4 The following two test properties are of relevance for incidence estimation¹⁸:

- 5 - The **Mean Duration of Recent Infection (MDRI)**, which is the average time that a
6 subject is classified as ‘recently’ infected, while infected for less than some time cut-
7 off T ; and
- 8 - The **False-Recent Rate (FRR)**, which is the probability that a subject, who is infected
9 for longer T , will produce a ‘recent’ result.

10 The consistent and general definition of the MDRI and FRR rely on the use of the post-
11 infection time cut-off, T , which is set at $T = 2$ years for this analysis¹⁸.

12

13 In practice, the notion of ‘infection’ depends on the particular HIV diagnostic test used in the
14 incidence study, and refers to ‘*detectable* infection’. In this analysis, ‘infection’ was defined
15 as infection that is detectable using an HIV viral lysate-based Western blot assay. The
16 methodology used to estimate subjects’ infection times (time of seroconversion on Western
17 Blot) from their testing histories has been described¹⁸. Estimated infection dates were
18 calculated for subjects with a documented history of a negative HIV diagnostic test within
19 120 days of their first positive HIV test, using average durations of Fiebig stages^{19,20} to
20 estimate times at which patients seroconverted on a Western blot^{19,20}. Since publishing earlier
21 CEPHIA analyses, more complete testing history data has been retrieved, leading to some
22 refinements in estimated infection times for particular subjects. Subjects without complete
23 testing histories were not included in this analysis unless they were known to be
24 ‘longstanding’ due to the specimen draw date being more than 2 years from a documented
25 HIV positive test result or entry into a research cohort as a person known to have HIV.

1
2 The MDRI was estimated using linear binomial regression for the probability of testing
3 'recent'. Bootstrapping (by resampling subjects) was used to obtain 95% confidence intervals
4 (CIs). Three different parametric forms and two rules for including data were implemented –
5 see ¹⁸ for details. In the results presented below, the regression model used a logit link
6 function and a cubic polynomial of (estimated) time since infection as the predictor, and all
7 data points within time $1.1 \times T$ of infection were used in the model fitting. The sensitivity of
8 results to changes in parametric form and when including more data (up to $2 \times T$) was
9 investigated.

10

11 The FRR was approximated by the proportion of 'recent' subjects amongst those subjects
12 infected for longer than T , using the most frequent classification per subject and 95% CIs are
13 provided (exact Clopper-Pearson intervals). The cutoff used to distinguish between 'recent'
14 and 'non-recent' results was varied from the proposed Geenius index cutoff of 1.5, and
15 results are presented for index cutoff values ranging from 0.5 to 1.75.

16

17 **Evaluation of Assay Reproducibility**

18 Within the Evaluation Panel, each of three blinded controls appeared as 25 uniquely labelled
19 specimens. These were included to determine reproducibility of test results at high, medium
20 and low levels of antibody response (Specimens A, B and C respectively). Additionally,
21 repeat testing of four, labelled control specimens (Specimens D to G) was regularly
22 performed (6-10 repeats). Reproducibility of test results were measured including calculating
23 the Coefficient of Variation (CoV – ratio of standard deviation to mean) of test results per
24 specimen.

25

1 **Sensitivity of Quantitative Assay Results to Variation in Procedures (The “Guard**
2 **Band” Study)**

3 The ‘Guard Band’ (or robustness) Study was designed to determine the sensitivity of results
4 to variations in sample and sample buffer volumes. Firstly, the sample volumes were varied
5 from 2.5 μ L and 10 μ L from the recommended 5 μ L, to explore the impact of sample volume
6 on band intensities. Secondly, the volume of assay buffer added to well 1 was varied from 2
7 or 4 drops, from the recommended 3 drops of buffer to explore the impact on antibody
8 binding and therefore band intensities. Each of four control specimens used in the study,
9 chosen based on different band intensities on the four HIV antigens, were tested three times
10 under each condition. Test results were analyzed using multiple linear regression: the mean
11 Geenius Index was determined for each sample, sample volume, and buffer volume (no
12 interactive conditions were tested).

13
14

1 **RESULTS**

2 **Assay Dynamics**

3 Figures 1 and 2 describe the Geenius assay characteristics when excluding treated subjects
4 and SCOPE elite controllers, for individual band intensities and the overall Geenius Index,
5 respectively. The evolution of individual band intensities over time since infection (Figure 1,
6 Part A) demonstrate that, while the individual HIV Ag band intensities are in different ranges
7 of values, all band intensities increase rapidly after infection. When comparing the results of
8 different bands on the same group of specimens (Figure 1, Part B) the measurements for gp41
9 and gp160 bands are strongly correlated, although gp41 band shows a relatively faster
10 progression of band intensity following infection. The p31 band results may be negative, and
11 when positive may range over a larger range of band intensities.

12

13 When evaluating the Geenius Index, an increase in band intensities over time to above the
14 index cutoff of 1.5 is apparent (Figure 2, Part A), although about half of the specimens drawn
15 within the first six months of infection provided ‘non-recent’ results at this cutoff and there
16 were some ‘recent’ results obtained for specimens drawn more than $T=2$ years after
17 infection. The evolution of band intensities over time potentially varies by HIV Subtype
18 (Figure 2, Part B), and Subtype D specimens may return ‘recent’ results for longer periods.

19

20 **Test Properties**

21 Table 1 shows the estimated Geenius Index properties for all incident HIV-positive subjects
22 originally screened by HIV viral lysate-Western blot. Using the proposed HIV recency index
23 cutoff of 1.5 to discriminate between ‘recent’ and ‘non-recent’ results, the estimated MDRI
24 (excluding specimens from treated subjects and SCOPE elite controllers) is 179 days
25 (95% CI: 155-204). When the cutoff is lowered to 1.25, the MDRI decreases considerably to
26 109 days (95% CI: 88-131), and when the cutoff is increased to 1.75, the MDRI increases

1 considerably to 325 days (95% CI: 297-353). In sensitivity analyses, these MDRI estimates
2 change by up to 8% when changing the parametric form of the model or the data inclusion
3 rules. At the lower cutoffs, there are little data to inform the model fitting and results rely
4 heavily on estimated infection times. When stratifying by subtype, MDRI point estimates
5 changed by up to 15% when varying the model or data inclusion rules.

6
7 The overall FRR (excluding specimens from treated subjects and SCOPE elite controllers)
8 was 4.1% (95% CI: 2.2-7.0) for a cutoff of 1.5. This decreases to 2.5% (95% CI: 1.1-5.0)
9 when the cutoff is lowered to 1.25 and increases to 14.6% (95% CI: 10.9%-19.1%) when the
10 cutoff is raised to 1.75. Including only specimens with detectable viral loads (≥ 75 copies/ml),
11 the FRR remains high at 5.9% (95% CI: 3.0-10.1) at the 1.5 cutoff. Higher FRRs of larger
12 than 30% were observed in elite controllers and treated subjects, and even higher FRRs of
13 above 50% in subjects with undetectable viral loads and who received early antiretroviral
14 treatment.

15

16 **Reproducibility**

17 Figure 3 presents the variability of repeat measurements for each of the blinded controls (A,
18 B and C) and the labelled controls (D, E, F and G) for each band used to calculate the
19 Geenius Index. The CoV of the Geenius Index ranges from 5% to 13%.

20

21 **The Guard Band or Robustness Study**

22 Figure 4 presents the mean Geenius Index Result for the various sample and sample buffer
23 volumes tested separately with each of the four specimens. The regression analysis results
24 indicate an impact of sample and buffer volumes changes on band intensities. When the
25 sample volume is decreased from 5 μ L to 2.5 μ L, the mean index value decreased by 0.26

1 (95% CI: -0.52 to 0.00; p-value: 0.05), and if increased to 10 μ L, the mean index value
2 increases by 0.22 (95% CI: -0.04 to 0.48; p-value: 0.10). When the number of drops of
3 sample buffer is lowered from 3 to 2, there is no change in the mean index (95% CI: -0.26 to
4 0.26; p-value: 1.00), but if raised to 4 drops, the mean index value increases by 0.77 (95% CI:
5 0.51 to 1.03; p-value: <0.001).

6

7

8

1 *DISCUSSION*

2 Tests for recent HIV infection are widely used by national-level surveillance programs and
3 for epidemiological research. The relevance of such testing to more local public health and
4 surveillance practice has been limited by the complexity of assays used (requiring batch
5 testing of large numbers of samples in well-resourced, central laboratories). In the present
6 study, we determined that the Bio-Rad Geenius, a new single-use assay with fast turn-around
7 time approved in the US and Europe for HIV antibody confirmation and for HIV-1/HIV-2
8 differentiation can also function as a test for recent HIV infection. Using the measured ‘band
9 intensities’ that quantify antibody responses to specific HIV antigens, a composite biomarker
10 for ‘recent’ HIV infection can be constructed, which increases over time post seroconversion.
11 Using the proposed index cutoff of 1.5 to distinguish ‘recent’ from ‘non-recent’ infection,
12 subjects remain ‘recent’ for about half a year. The corresponding FRR is large at about 4%
13 (excluding treated subjects and identified elite controllers). This FRR will likely preclude the
14 use of the Geenius as a stand-alone assay for cross-sectional incidence estimations. Using a
15 lower index cutoff of 1.25 will reduce the FRR to 2.5% but also decreases the MDRI to 109
16 days.

17

18 The application of a point of care test that can simultaneously act as a confirmatory HIV
19 diagnostic assay and a test for recent infection is highly novel²¹. Other diagnostic tests detect
20 early infection but do not help distinguish those with ongoing seroconversion from those with
21 established infection²². A diagnostic test providing this information could improve clinical
22 decision making, help target public health interventions and enhance case-based surveillance.
23 Clinically, immediate and rapid initiation of ART (e.g. prior to genotype testing) in the first 3
24 months of HIV infection can lead to better treatment outcomes including lower HIV
25 reservoirs²³ better immune control of the virus²⁴ and less systemic inflammation²⁵. Early

1 ART can also quickly reduce viral load and secondary transmission²⁶ when infectivity is
2 greatest²⁷⁻²⁹. Public health interventions that can be effectively targeted to acute/recent
3 infection cases³⁰ include contact tracing and partner services such as HIV testing and
4 antiretroviral PrEP for partners at high risk of acquiring or continuing to transmit HIV^{31,32}.
5 Finally, having information on recent infection status from routine diagnostic test results
6 would provide a new metric of early case detection for HIV testing programs and case-based
7 surveillance³³. Because all of these potential use cases may apply to a different period of
8 acute or recent infection (e.g., 1-, 3-, or 6 months post seroconversion), additional studies will
9 be needed to determine best practices for using recent infection tests for individual disease
10 staging.

11
12 For purposes of incidence estimation in population surveys, we recommend that the Geenius
13 assay might be used in combination with other HIV recency tests (for example, to provide
14 one result within a multi-assay algorithm). Rapid testing with minimal training requirements
15 is important in testing facilities that are not located in a clinical laboratory. This would allow
16 testing at disseminated testing sites and easy transfer to field sites in settings where clinical
17 laboratories or other research infrastructure are unavailable. However, the results of our
18 guard band studies also introduce an important additional caution. We recommend calibrated
19 precision pipettes or validated plastic microtube pipettes when using results of a Geenius
20 assay for early infection-staging.

21
22 During our evaluation, it was possible to run between 90 and 120 samples on the Geenius
23 system in an 8-hour period, so throughput is reasonable but test kit costs limits the application
24 of the assay to additional interpretation of recency status when the test is already being run as
25 an HIV confirmatory assay. It is especially important to have the option of using these assays

1 in determining if the individual has been infected recently in clinical settings close to
2 patients, in order to avoid loss to follow-up. Although the Geenius assay does not meet the
3 criteria for an optimal incidence assay as identified by the Target Product Profile outlined in
4 our previous publications (FRR<1% with an MDRI of 1 year)^{34,35}, the fast turn-around time
5 of the Geenius assay, its easy transferability with minimal lab requirements, and its use as a
6 confirmatory test for HIV and surveillance provides much more than currently used incidence
7 assays and with access to the antibody band intensity data, it could be an important new tool
8 in identifying recent infections at the point of care. The results of this study suggest that, if
9 routine use of the Geenius or similar confirmatory tests expands, it is feasible to incorporate
10 testing for recent HIV infection into clinical and public health practice on an unprecedented
11 scale. This raises important opportunities and new challenges for public health and clinical
12 implementation science.

13

14

1 **Acknowledgements:**

2 We would like to thank Christopher Bentsen, Bio-Rad Laboratories, Redmond, WA and
3 Stephane Gadelle Bio-Rad Laboratories, Marnes La Coquette, France for providing the
4 Geenius test kits and performing the CEPHIA qualification panel testing and analysis to
5 determine the Geenius recency algorithm and proposed cutoff. Bio-Rad designed and
6 performed the Guard Band Study with the Geenius assay. The data was provided to the
7 CEPHIA group for data analysis. SMK, RK, EG analyzed the data and wrote the manuscript.
8 ML tested all specimens. JCM built the panels that were tested. SNF analyzed the clinical
9 data to develop the panels. GM, AW, CDP, MPB were principal investigators for the study.
10 JNM, SL, MAP, EGK and CDP were principal investigators for the clinical cohorts.

1 References

- 2 **1.** Guidelines for using HIV testing technologies in surveillance: selection, evaluation
3 and implementation – 2009 update. 2009;
4 [http://www.who.int/hiv/pub/surveillance/hiv_testing_technologies_surveillance_.pdf?](http://www.who.int/hiv/pub/surveillance/hiv_testing_technologies_surveillance_.pdf?ua=1)
5 [ua=1](http://www.who.int/hiv/pub/surveillance/hiv_testing_technologies_surveillance_.pdf?ua=1). Accessed April 7, 2015.
- 6 **2.** CDC. Interpretation and use of the Western blot assay for serodiagnosis of human
7 immunodeficiency virus type 1 infections. *MMWR. Morbidity and mortality weekly*
8 *report*. 1989;38(S-7):1-7.
- 9 **3.** Gokengin D, Geretti AM, Begovac J, et al. 2014 European Guideline on HIV testing.
10 *International journal of STD & AIDS*. Sep 2014;25(10):695-704.
- 11 **4.** Branson BM. The future of HIV testing. *J Acquir Immune Defic Syndr*. Dec 1999;55
12 Suppl 2:S102-105.
- 13 **5.** Cardenas AM, Baughan E, Hodinka RL. Evaluation of the Bio-Rad Multispot HIV-
14 1/HIV-2 Rapid Test as an alternative to Western blot for confirmation of HIV
15 infection. *Journal of clinical virology : the official publication of the Pan American*
16 *Society for Clinical Virology*. Dec;58 Suppl 1:e97-e103.
- 17 **6.** Mor O, Mileguir F, Michaeli M, Levy I, Mendelson E. Evaluation of the Bio-Rad
18 Geenius HIV 1/2 assay as an alternative to the INNO-LIA HIV 1/2 assay for
19 confirmation of HIV infection. *Journal of clinical microbiology*. Jul;52(7):2677-2679.
- 20 **7.** Hawthorne Hallen A, Samuelson A, Nordin M, Albert J, Bogdanovic G. Evaluation of
21 bio-rad geenius HIV-1 and -2 assay as a confirmatory assay for detection of HIV-1
22 and -2 antibodies. *Clinical and vaccine immunology : CVI*. Aug 2014;21(8):1192-
23 1194.
- 24 **8.** Montesinos I, Eykmans J, Delforge ML. Evaluation of the Bio-Rad Geenius HIV-1/2
25 test as a confirmatory assay. *Journal of clinical virology : the official publication of*
26 *the Pan American Society for Clinical Virology*. Aug 2014;60(4):399-401.
- 27 **9.** Laeyendecker O, Kulich M, Donnell D, et al. Development of methods for cross-
28 sectional HIV incidence estimation in a large, community randomized trial. *PloS one*.
29 2013;8(11):e78818.
- 30 **10.** Laeyendecker O, Piwowar-Manning E, Fiamma A, et al. Estimation of HIV incidence
31 in a large, community-based, randomized clinical trial: NIMH project accept (HIV
32 Prevention Trials Network 043). *PloS one*. 2013;8(7):e68349.
- 33 **11.** Eshleman SH, Hughes JP, Laeyendecker O, et al. Use of a multifaceted approach to
34 analyze HIV incidence in a cohort study of women in the United States: HIV
35 Prevention Trials Network 064 Study. *The Journal of infectious diseases*. Jan 15
36 2013;207(2):223-231.
- 37 **12.** Hammett TM, Des Jarlais DC, Kling R, et al. Controlling HIV epidemics among
38 injection drug users: eight years of Cross-Border HIV prevention interventions in
39 Vietnam and China. *PloS one*. 2012;7(8):e43141.
- 40 **13.** Reza-Paul S, Beattie T, Syed HU, et al. Declines in risk behaviour and sexually
41 transmitted infection prevalence following a community-led HIV preventive
42 intervention among female sex workers in Mysore, India. *Aids*. Dec 2008;22 Suppl
43 5:S91-100.
- 44 **14.** Lissouba P, Taljaard D, Rech D, et al. Adult male circumcision as an intervention
45 against HIV: an operational study of uptake in a South African community (ANRS
46 12126). *BMC infectious diseases*. 2011;11:253.
- 47 **15.** Le Vu S, Velter A, Meyer L, et al. Biomarker-based HIV incidence in a community
48 sample of men who have sex with men in Paris, France. *PloS one*. 2012;7(6):e39872.

- 1 **16.** Aghaizu A, Murphy G, Tosswill J, et al. Recent infection testing algorithm (RITA)
2 applied to new HIV diagnoses in England, Wales and Northern Ireland, 2009 to 2011.
3 *Euro surveillance : bulletin Europeen sur les maladies transmissibles = European*
4 *communicable disease bulletin.* 2014;19(2).
- 5 **17.** Estimated HIV incidence in the United States, 2007– 2010. *HIV Surveillance*
6 *Supplemental Report 2012* 2012; 17
7 (No.4):<http://www.cdc.gov/hiv/topics/surveillance/resources/reports/#supplemental>.
8 Accessed April 7, 2015.
- 9 **18.** Kassanje R, Pilcher CD, Keating SM, et al. Independent assessment of candidate
10 HIV incidence assays on specimens in the CEPHIA repository. *AIDS.* Oct 23
11 2014;28(16):2439-2449.
- 12 **19.** Fiebig EW, Wright DJ, Rawal BD, et al. Dynamics of HIV viremia and antibody
13 seroconversion in plasma donors: implications for diagnosis and staging of primary
14 HIV infection. *Aids.* Sep 5 2003;17(13):1871-1879.
- 15 **20.** Lee HY, Giorgi EE, Keele BF, et al. Modeling sequence evolution in acute HIV-1
16 infection. *Journal of theoretical biology.* Nov 21 2009;261(2):341-360.
- 17 **21.** Schupbach J, Bisset LR, Gebhardt MD, et al. Diagnostic performance of line-
18 immunoassay based algorithms for incident HIV-1 infection. *BMC infectious*
19 *diseases.* 2012;12:88.
- 20 **22.** Masciotra S, McDougal JS, Feldman J, Sprinkle P, Wesolowski L, Owen SM.
21 Evaluation of an alternative HIV diagnostic algorithm using specimens from
22 seroconversion panels and persons with established HIV infections. *Journal of*
23 *clinical virology : the official publication of the Pan American Society for Clinical*
24 *Virology.* Dec 2011;52 Suppl 1:S17-22.
- 25 **23.** Ananworanich J, Sirivichayakul S, Pinyakorn S, et al. High prevalence of transmitted
26 drug resistance in acute HIV-infected Thai men who have sex with men. *J Acquir*
27 *Immune Defic Syndr.* Apr 1;68(4):481-485.
- 28 **24.** Grijzen ML, Steingrover R, Wit FW, et al. No treatment versus 24 or 60 weeks of
29 antiretroviral treatment during primary HIV infection: the randomized Primo-SHM
30 trial. *PLoS medicine.*9(3):e1001196.
- 31 **25.** Schuetz A, Deleage C, Sereti I, et al. Initiation of ART during early acute HIV
32 infection preserves mucosal Th17 function and reverses HIV-related immune
33 activation. *PLoS pathogens.* Dec 2014;10(12):e1004543.
- 34 **26.** Cohen MS, Chen YQ, McCauley M, et al. Prevention of HIV-1 infection with early
35 antiretroviral therapy. *The New England journal of medicine.* Aug 11
36 2011;365(6):493-505.
- 37 **27.** Wawer MJ, Gray RH, Sewankambo NK, et al. Rates of HIV-1 transmission per coital
38 act, by stage of HIV-1 infection, in Rakai, Uganda. *The Journal of infectious diseases.*
39 May 1 2005;191(9):1403-1409.
- 40 **28.** Hollingsworth TD, Pilcher CD, Hecht FM, Deeks SG, Fraser C. High
41 Transmissibility During Early HIV Infection Among Men Who Have Sex With Men-
42 San Francisco, California. *The Journal of infectious diseases.* Jun 1;211(11):1757-
43 1760.
- 44 **29.** Bellan SE, Dushoff J, Galvani AP, Meyers LA. Reassessment of HIV-1 acute phase
45 infectivity: accounting for heterogeneity and study design with simulated cohorts.
46 *PLoS medicine.* Mar;12(3):e1001801.
- 47 **30.** Pilcher CD, Fiscus SA, Nguyen TQ, et al. Detection of acute infections during HIV
48 testing in North Carolina. *The New England journal of medicine.* May 5
49 2005;352(18):1873-1883.

- 1 **31.** Ananworanich J, Mellors JW. A cure for HIV: what will it take? *Current opinion in*
2 *HIV and AIDS*. Jan 2015;10(1):1-3.
- 3 **32.** Rosenberg NE, Pilcher CD, Busch MP, Cohen MS. How can we better identify early
4 HIV infections? *Current opinion in HIV and AIDS*. Jan 2015;10(1):61-68.
- 5 **33.** Selik RM, Mokotoff ED, Branson B, Owen SM, Whitmore S, Hall I. *Revised*
6 *Surveillance Case Definition for HIV Infection — United States, 2014*. Atlanta, GA:
7 CDC; April 11, 2014 2014.
- 8 **34.** Incidence Assay Critical Path Working G. More and better information to tackle HIV
9 epidemics: towards improved HIV incidence assays. *PLoS medicine*. Jun
10 2011;8(6):e1001045.
- 11 **35.** *UNAIDS/WHO Working group on global HIV/ AIDS and STI surveillance. When and*
12 *how to use assays for recent infection to estimate HIV incidence at a population*
13 *level*2011.
14
15

Table 1: Estimated test properties (and 95% confidence intervals), for various specimen sets and different thresholds cutoff

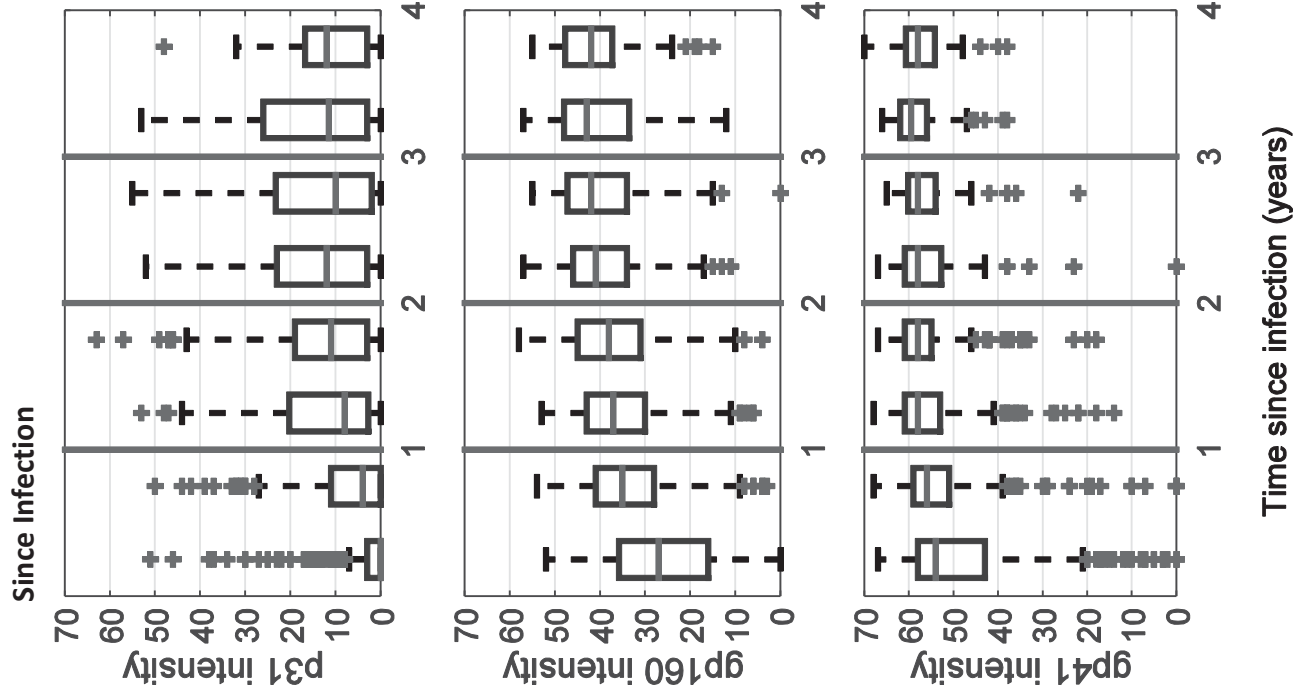
	# subjects (time points)	Recent/non-recent cutoff MDRI (days) ⁱ						
		0.5	0.75	1	1.25	1.5	1.75	
All specimens ⁱⁱ	404 (1041)	16 (9-25)	38 (26-51)	69 (53-88)	109 (88-131)	179 (155-204)	325 (297-353)	
All specimens with detectable viral load ^{ii,iii}	394 (943)	13 (6-22)	33 (23-46)	60 (45-77)	100 (80-121)	167 (144-192)	319 (292-347)	
All specimens by subtype ^{ii,iv}								
A1	80 (166)				135 (90-190)	214 (161-269)	383 (317-454)	
B	93 (253)				53 (29-83)	125 (88-168)	271 (218-329)	
C	182 (456)				97 (72-123)	161 (130-194)	317 (278-357)	
D	38 (131)				233 (125-353)	298 (186-421)	366 (255-488)	
		FRR (%)^j						
All specimens ⁱⁱ	314 (663)	0.5 (0.0-2.0)	0.6 (0.1-2.3)	0.6 (0.1-2.3)	2.5 (1.1-5.0)	4.1 (2.2-7.0)	14.6 (10.9-19.1)	
All specimens with detectable viral load ^{ii,iii}	196 (440)	0.5 (0.0-2.8)	0.5 (0.0-2.8)	0.5 (0.0-2.8)	3.3 (1.3-6.9)	5.9 (3.0-10.1)	13.5 (9.1-19.1)	
All specimens by subtype ⁱⁱ								
A1	37 (106)	0.0 (0.0-9.5)	0.0 (0.0-9.5)	0.0 (0.0-9.5)	1.4 (0.0-11.9)	6.8 (1.1-20.1)	14.9 (5.3-30.4)	
B	190 (388)	0.0 (0.0-1.9)	0.0 (0.0-1.9)	0.0 (0.0-1.9)	1.1 (0.1-3.8)	2.1 (0.6-5.3)	13.9 (9.4-19.7)	
C	74 (143)	0.7 (0.0-6.1)	1.4 (0.0-7.3)	1.4 (0.0-7.3)	6.1 (1.9-14.2)	7.4 (2.6-15.9)	16.2 (8.7-26.6)	
D	10 (17)	10.0 (0.3-44.5)	10.0 (0.3-44.5)	10.0 (0.3-44.5)	10.0 (0.3-44.5)	10.0 (0.3-44.5)	20.0 (2.5-55.6)	
By time since infection ⁱⁱ								
2 to 3 years	139 (208)	1.1 (0.1-4.5)	1.4 (0.2-5.1)	2.2 (0.4-6.2)	4.3 (1.6-9.2)	9.7 (5.3-15.9)	20.5 (14.1-28.2)	
3 to 4 years	76 (109)	0.0 (0.0-4.7)	0.0 (0.0-4.7)	0.0 (0.0-4.7)	5.3 (1.5-12.9)	9.2 (3.8-18.1)	17.1 (9.4-27.5)	
4 to 5 years	35 (45)	0.0 (0.0-10.0)	0.0 (0.0-10.0)	0.0 (0.0-10.0)	0.0 (0.0-10.0)	0.0 (0.0-10.0)	4.3 (0.3-17.1)	
>5 years	109 (189)	0.0 (0.0-3.3)	0.0 (0.0-3.3)	0.9 (0.0-5.0)	2.3 (0.4-7.2)	4.1 (1.3-9.8)	18.3 (11.6-26.9)	
Elite controllers ^v	31 (89)	6.5 (0.8-21.4)	9.7 (2.0-25.8)	11.3 (2.8-27.8)	22.6 (9.6-41.1)	30.6 (15.4-49.7)	40.3 (23.2-59.4)	
Treated subjects ^{vi}	113 (185)	35.8 (27.0-45.4)	43.8 (34.5-53.5)	50.9 (41.3-60.4)	57.5 (47.9-66.8)	66.4 (56.9-75.0)	81.0 (72.5-87.7)	
By time from infection to treatment								
[0,0.5)	52 (89)	61.5 (47.0-74.7)	71.2 (56.9-82.9)	81.7 (68.6-91.1)	87.5 (75.4-95.0)	88.5 (76.6-95.6)	92.3 (81.5-97.9)	
≥0.5	53 (88)	2.8 (0.2-11.6)	8.5 (2.6-19.4)	13.2 (5.5-25.3)	21.7 (11.6-35.2)	39.6 (26.5-54.0)	67.0 (52.7-79.3)	
Low viral load ^{vii}	154 (275)	27.9 (21.0-35.7)	34.7 (27.3-42.8)	40.3 (32.4-48.5)	49.0 (40.9-57.2)	56.8 (48.6-64.8)	72.1 (64.3-79.0)	
Low CD4 cell count ^{viii}	125 (216)	0.0 (0.0-2.9)	0.0 (0.0-2.9)	0.0 (0.0-2.9)	0.8 (0.0-4.4)	1.6 (0.2-5.7)	16.8 (10.7-24.5)	

ⁱ Using an HIV viral lysate-based Western blot assay to identify HIV-positive subjects, and $T=2$ years. ⁱⁱ Excluding treated subjects and SCOPE elite controllers. ⁱⁱⁱ Viral load at draw is > 75 copies/ml ^{iv} Subtype-specific MDRI estimates are not shown at lower cutoffs due to large sensitivities to parametric assumptions. ^v Identified as elite controllers in the SCOPE cohort. ^{vi} No previous treatment interruptions and treated for at least 3 months. ^{vii} Viral load at draw is ≤ 75 copies/ml. ^{viii} CD4 cell count at draw ≤ 200 cells/ μ l+

Figure

Figure 1. Antigen-Specific Band Intensities

A. p31, gp160 and gp41 Band Intensity over Time



B. Pairwise Scatter Plots of p31, gp160 and gp41

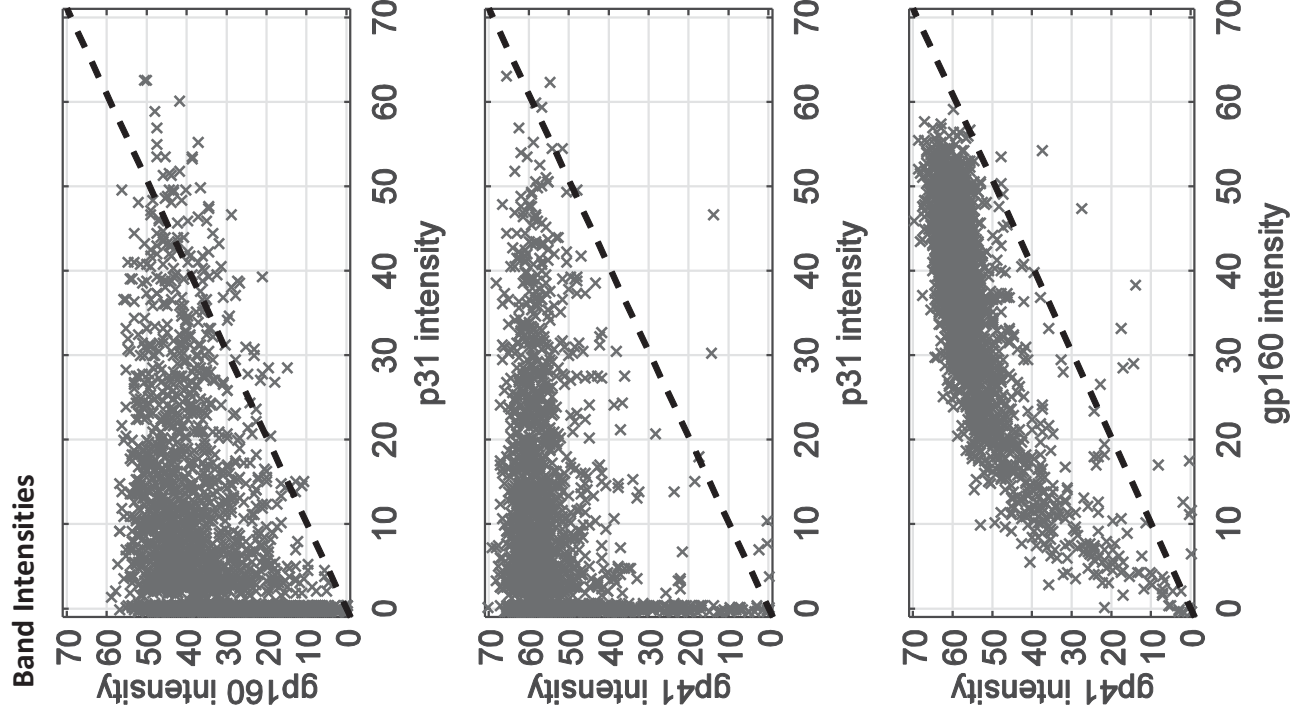


Figure 2: Incidence Assay Results over Time since Infection

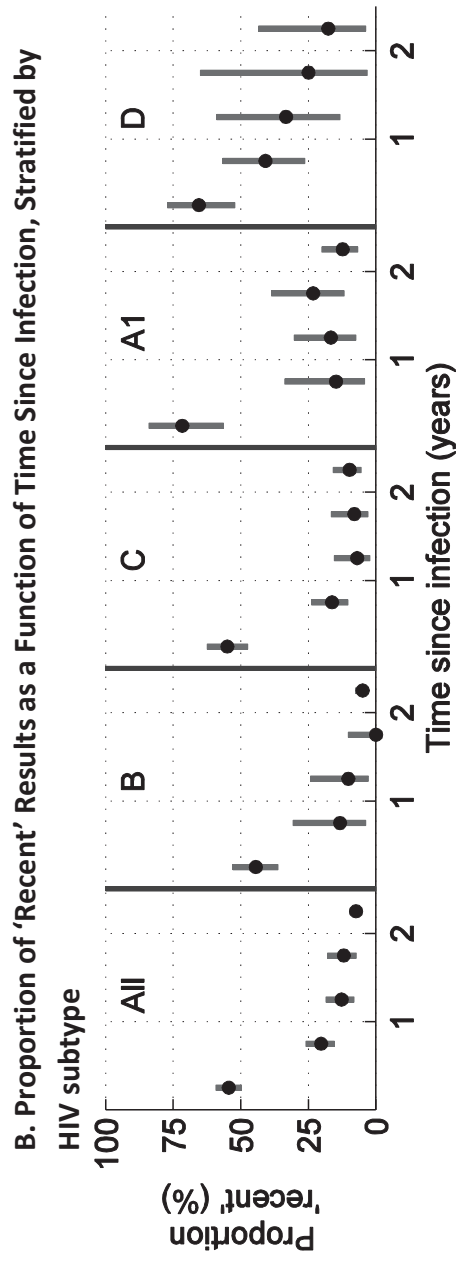
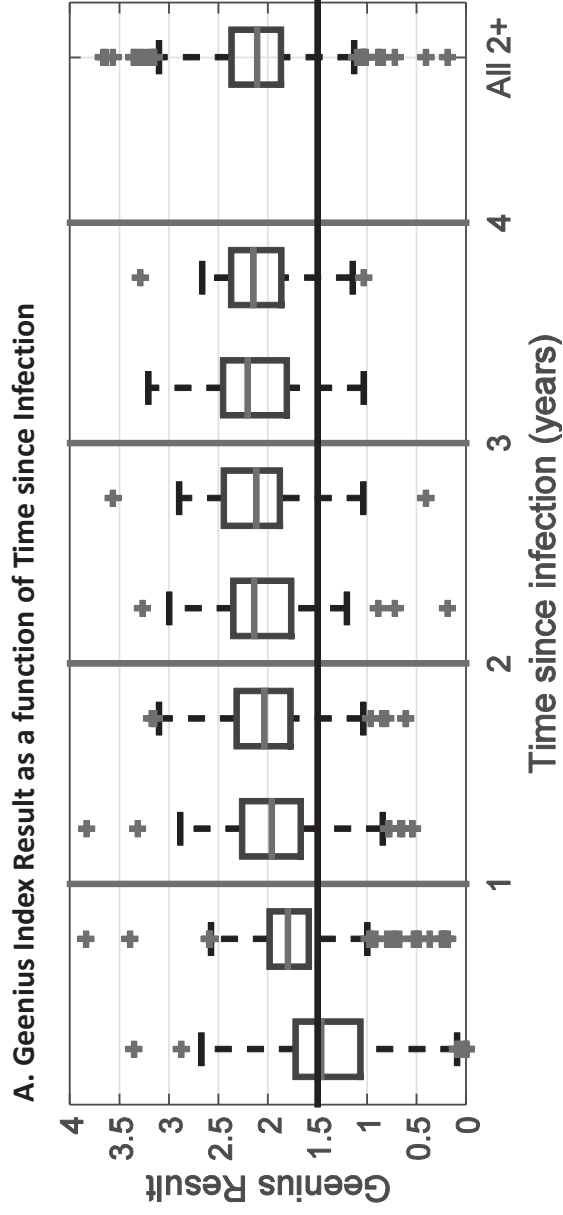


Figure 3. Reproducibility of Assay Results

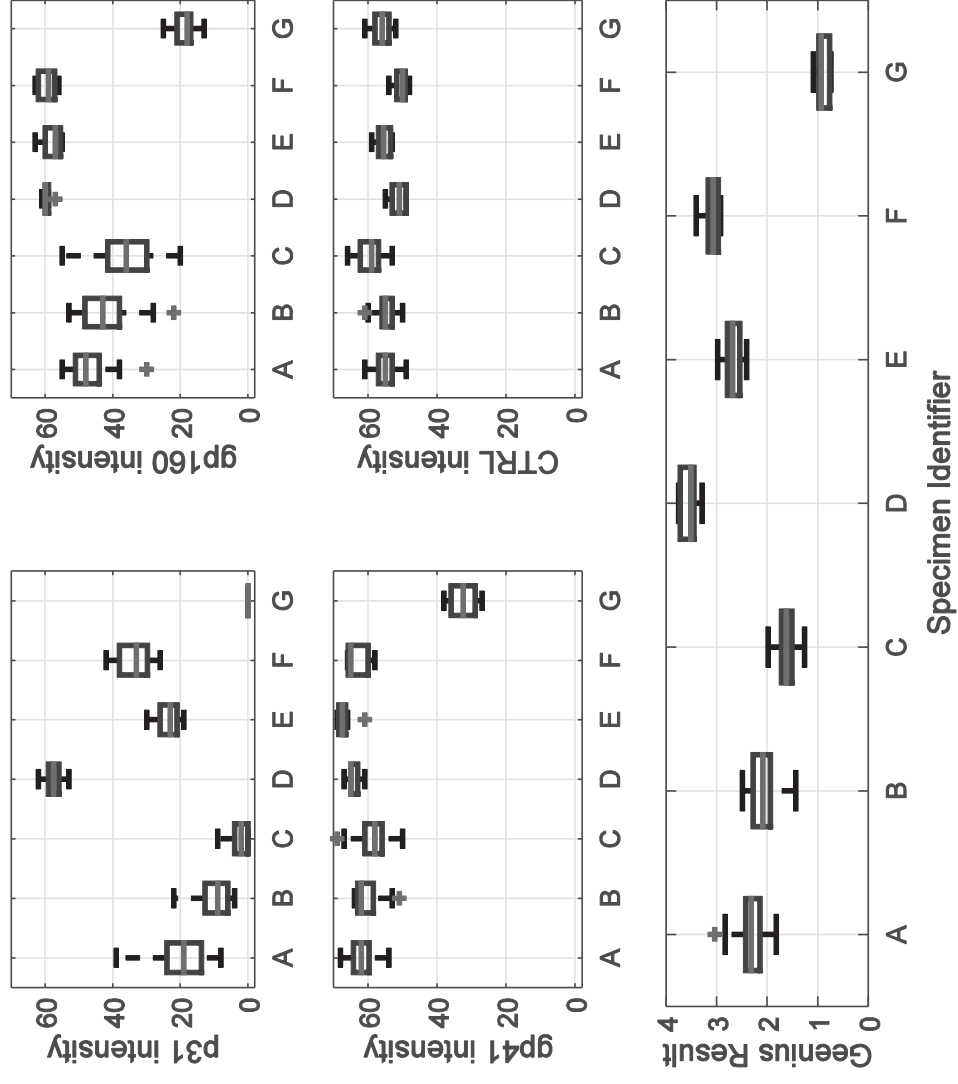
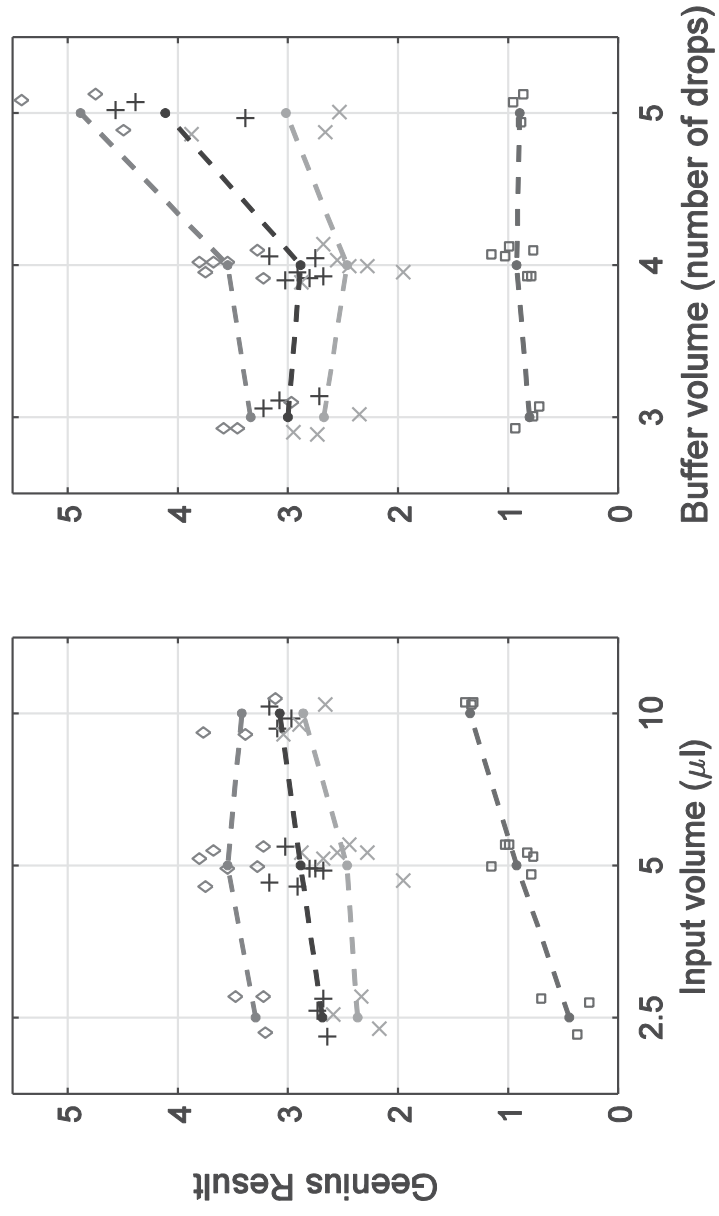


Figure 4. Sensitivity of Assay Results to Variations in Testing Procedures



1 **Figure Legends**

2

3 **Figure 1. Antigen-Specific Band Intensities.** A) Box-and-whisker plots of band intensities,
4 as a function of time since infection (in six-month intervals), for p31, gp160 and gp41.

5 **B)** Pairwise scatter plots of band intensities for p31, gp160 and gp41. Excludes treated
6 subjects and SCOPE elite controllers.

7

8 **Figure 2: Incidence Assay Results over Time Since Infection.** A) Box-and-whisker plots
9 of the Geenius Result, as a function of time since infection. Results are summarized for each
10 six-month interval of time after infection, and results for all specimens drawn more than 2
11 years after infection are captured in the rightmost box plot. **B)** Proportion of ‘recent’ results
12 (using a cutoff of 1.5) as a function of time since infection (with a 95% confidence interval),
13 stratified by HIV subtype (B, C, A1 and D). Excludes treated subjects and SCOPE elite
14 controllers.

15

16 **Figure 3. Reproducibility of Assay Results.** Box-and-whisker plots of the 25 repeat
17 measurements for the three blinded controls (A-C) and 6-10 repeat measurements for the four
18 labelled controls (D-G), for each band used to calculate the Geenius Index Result as well as
19 the Geenius Index Result.

20

21 **Figure 4. Sensitivity of Assay Results to Variations in Testing Procedures.** For each of
22 the four specimens (distinguished by marker shape), the individual measurements are shown
23 by markers, and mean measurements connected by dashed lines. The input plasma volume is
24 varied (left plot), or number of drops of buffer is varied (right plot).